



"PATENT"

AMENDMENT TRANSMITTAL FORM

In re application of: James T. Carey et al

U. S. Serial No.: 10/678,547 [810035]

Filed: October 3, 2003

For: Low-Volatility Functional Fluids Useful Under Conditions of
High Thermal Stress and Methods for Their Production and Use

) Before the Examiner

) Not Assigned

)

) Confirmation Number: 2518

) Group Art Unit: 1751

) Family Number: P2002J111 US2

Commissioner for Patents

Mail Stop Amendment

P.O. Box 1450

Alexandria, Virginia 22313-1450

☒ The undersigned hereby certifies having information and a reasonable basis for belief that this correspondence will be deposited as first-class mail with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on NOVEMBER 10, 2004.

Transmittal herewith is an amendment/response in the above-identified application.

Petition for extension of time pursuant to 37 CFR 1.136 and 1.137 is hereby made, if and to the extent, required. The fee for this extension of time is calculated to be \$ _____ to extend the time for filing this response until _____.

The fee for any changes in number of claims has been calculated as shown below.

| CLAIMS AS AMENDED | | | | | | |
|------------------------------|--|-------|--|-------------------------|-------------|-----|
| (1) | (2) Claims Remaining After Amendment | (3) | (4) Highest Number Previously Paid For | (5) Present Extra | (6) Rate | (7) |
| Total Claims | * | Minus | ** | | x 18.00 | |
| Indep. Claims | * | Minus | *** | | x 88.00 | |
| MULTIPLE DEPENDENT CLAIM FEE | | | | | \$300.00 | |
| FEE FOR CLAIM CHANGES | | | | | | |

* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The total fee for this SUPPLEMENTARY STATEMENT UNDER 37 CFR 1.56, including claim changes and any extension of time is calculated to be \$ 0.

☒ Charge \$ 0 to DEPOSIT ACCOUNT NO. 05-1330.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required by this paper, or credit any overpayment, to DEPOSIT ACCOUNT NO. 05-1330. A duplicate copy of this Form is enclosed.

DATE OF SIGNATURE

ATTORNEY OR AGENT OF RECORD

Post Office Address: [to which correspondence is to be sent]

ESTELLE C. BAKUN

ExxonMobil Research and Engineering Company

Registration No. 35,054

P. O. Box 900

Annandale, New Jersey 08801-0900

☒ Pursuant to 37 CFR 1.34(a)

Facsimile No. (908) 730-3649



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PATENT TRADEMARK OFFICE

11/9/2004



IFW
"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--|---|------------------------------|
| In re Application of: James T. Carey et al |) | Before the Examiner |
| |) | Not Assigned |
| U. S. Serial No. 10/678,547 |) | |
| |) | Confirmation Number: 2518 |
| Filed: October 3, 2003 |) | |
| |) | Group Art Unit: 1751 |
| Low-Volatility Functional Fluids Useful |) | |
| Under Conditions of High Thermal Stress |) | Family Number: P2002J111 US2 |
| and Methods for Their Production and Use |) | |

Commissioner for Patents
Mail Stop Amendment
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Alexandria, Virginia 22313-1450

SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT

Applicants take this opportunity to bring to the attention of the Examiner various items which may be considered relevant in the prosecution of the above captioned application.

This Supplementary IDS is submitted prior to the receipt of a first action on the merits in this U.S. application.

I hereby certify that I have a reasonable basis for believing that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 10, 2004
Date of Deposit

| | | |
|---------------------------|-------------------------|--------------------------|
| <u>KATHLEEN A. KUNA</u> | <u>Kathleen A. Kuna</u> | <u>November 10, 2004</u> |
| Name of attorney or agent | Signature | Date of Signature |



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In the IDS submitted on February 23, 2004, WO 01/18156 was cited.

WO 01/18156 has an English language equivalent, USP 6,599,864 and this is hereby being brought to the Examiner's attention.

The following are identified:

USP 6,599,864

WO 01/57166 (copy attached)

Also attached is a copy of the Search Report in PCT/US03/32093, the PCT equivalent of the present application.

Respectfully submitted,



Joseph J. Allocca
Attorney for Applicants
Registration No. 27,766
Telephone Number: (908) 730-3629
Facsimile Number: (908) 730-3649

☒ Pursuant to 37 CFR 1.34(a)

ExxonMobil Research and Engineering Company
P. O. Box 900
Annandale, New Jersey 08801-0900

Allocca:kak
11/9/2004


**INFORMATION
DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Applicants

James T. Carey et al

U. S. Serial Number

10/678,547

Filing Date

October 3, 2003

Confirmation Number

2518

Group Art Unit

1751

Attorney Docket Number

NLF-0322

Family Number

P2002J111 US2

U. S. PATENT DOCUMENTS

| Examiner Initial * | | Document Number | | | | | | | Date | Name | Class | Sub-Class | Filing Date |
|--------------------|----|-----------------|---|---|---|---|---|---|-----------|----------|-------|-----------|-------------|
| | AA | 6 | 5 | 9 | 9 | 8 | 6 | 4 | 7/29/2003 | Bertomeu | 508 | 110 | 9/7/2000 |
| | AB | | | | | | | | | | | | |
| | AC | | | | | | | | | | | | |
| | AD | | | | | | | | | | | | |
| | AE | | | | | | | | | | | | |
| | AF | | | | | | | | | | | | |
| | AG | | | | | | | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | Document Number | | | | | | | Publication Date | Country | Class | Sub-Class | Translation Yes No | |
|--|----|-----------------|---|---|---|---|---|----|------------------|---------|-------|-----------|-----------------------|--|
| | AH | WO | 0 | 1 | 5 | 7 | 1 | 66 | 09.08.2001 | PCT | C10M | 171/02 | | |
| | AI | | | | | | | | | | | | | |
| | AJ | | | | | | | | | | | | | |
| | AK | | | | | | | | | | | | | |
| | AL | | | | | | | | | | | | | |

OTHER DISCLOSURES (Include Author, Title, Date, Pertinent Pages, Place of Publication, etc.)

| | | |
|----------|----|-----------------|
| | AM | |
| Examiner | | Date Considered |

* Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and NOT considered. Include copy of this form with next communication to applicant(s).



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